



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/738,455	12/13/2000	Jackie Zhanhong Wu	PRPL3012	9743

23488 7590 02/07/2005

GERALD B ROSENBERG  
NEW TECH LAW  
285 HAMILTON AVE  
SUITE 520  
PALO ALTO, CA 94301

EXAMINER

SCHUBERT, KEVIN R

ART UNIT PAPER NUMBER

2137

DATE MAILED: 02/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/738,455

Applicant(s)

ZHANHONG WU, JACKIE

Examiner

Kevin Schubert

Art Unit

2137

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 08 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 8 and 12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 8 and 12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 December 2000 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

Art Unit: 2137

**DETAILED ACTION**

Claims 8 and 12 have been considered. Claims 8 and 12 are rejected in light of newly discovered reference Markus, U.S. Patent No. 6,490,601. The previous statement of allowability has been retracted. This action is non-final in light of the newly applied reference.

5

***Drawings***

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: "network 12" in fig 1 (page 12 line 19). A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference sign(s) not mentioned in the description: "user system 14". A proposed drawing correction, corrected drawings, or amendment to the specification to add the reference sign(s) in the description, are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

30

Art Unit: 2137

Claims 8 and 12 are rejected under 35 U.S.C. 102(e) as being anticipated by Markus, U.S. Patent No. 6,490,601.

As per claim 8, the applicant describes a server system, operable in connection with a  
5 central repository of confidential user data, to support the selective distribution of confidential user-information to authorized partner sites, which have specific confidential user data requirements, said server system comprising the following limitations:

a) a connection to a database storing confidential user data within a user account and wherein datums of confidential user data are selectable based on an account identification and  
10 server datum identifications (Col 5, lines 29-44);

b) a computer system, coupleable to a communications network and, through said connection, to said database, said computer system being responsive to a network request received from a partner site, wherein said network request provides said account identification and said server datum identifications, wherein said server datum identifications have a mapped  
15 relationship to the confidential user-information requirements of said partner site with respect to said user account, which is expressed as partner datum identifications, and wherein said computer system supports the determination of said mapped relationship for said partner site and provides for the generation and distribution of a mapped relationship definition to said partner site packaged to be sent as part of said network request in response to a single click on said partner  
20 site, wherein said mapped relationship definition implements said mapped relationship in a form evaluateable by said server system upon receipt as part of said network request, said server system providing a network response to said partner site containing datums of confidential user-information corresponding to said partner datum identifications (Col 7, line 41 to Col 8, line 5);

c) a mapping processor, coupled to receive said mapped relationship definition, that  
25 implements combinatorial and logical functions to autonomously convert server datums to partner datums through a process defined by said mapped relationship definition (Col 15, lines 20-53);

Art Unit: 2137

Regarding part c), the use of a processor is inherent in the privacy bank server (Col 4, lines 61-62) which needs a processor to perform the functions described (Col 15, lines 20-53). Also a server is a computing system which typically contains a processor.

5 As per claim 12, the applicant describes the server system of claim 8, which is met by Markus (see above), with the following limitation which is also met by Markus:

Wherein said computer system is coupleable through said communications network to a client system operated by a user, wherein said server system establishes a user account identification on said client system, and wherein said client system autonomously provides said  
10 user account identification to said server system in connection with said network request (Col 11, lines 39-49);

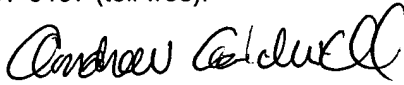
A cookie is used to provide user account identification to the privacy bank server in connection with the request for information from the partner or merchant site.

15

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Schubert whose telephone number is (571) 272-4239. The examiner can normally be reached on M-F 8:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's  
20 supervisor, Andrew Caldwell can be reached on (571) 272-3868. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished  
25 applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
**ANDREW CALDWELL**  
**SUPERVISORY PATENT EXAMINER**